

# Visibly Pushdown Automata with Multiplicities: Finiteness and $K$ -Boundedness

Mathieu Caralp  
joint work with Pierre-Alain Reynier and Jean-Marc Talbot

Laboratoire d'Informatique Fondamentale de Marseille, AMU & CNRS, UMR 7279

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# Outline

- 1 Introduction
- 2 Infinite multiplicity
  - $\langle A \rangle < +\infty$  ?
- 3  $k$ -Boundedness
  - $\langle A \rangle < k$  ?
- 4 Conclusion

## Visibly Pushdown Automata (VPA)

- Subclass of Pushdown automata,
- Partitioned input alphabet:  $\Sigma = \Sigma_c \uplus \Sigma_r \uplus \Sigma_\iota$ ,
- On  $\Sigma_c$  (call): push, on  $\Sigma_r$  (return): pop and on  $\Sigma_\iota$  (internal): nothing.

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Definition (R. Alur and P. Madhusudan 2004)

A visibly pushdown automaton on  $\Sigma$  is a tuple  $(\Gamma, Q, Q_{in}, Q_f, \delta)$  with:

- $\Gamma$  is the set of stack symbols,
- $Q$  is the set of states,
- $Q_{in} \subseteq Q$  (resp.  $Q_f \subseteq Q$ ) is the set of initial (resp. final) states,
- $\delta \subseteq (Q \times \Sigma_\iota \times Q) \cup (Q \times \Sigma_c \times \Gamma \times Q) \cup (Q \times \Sigma_r \times \Gamma \times Q)$  is the set of transitions.

## Well-nested words

$\Sigma_{\text{wn}}^*$  is a subset of  $\Sigma^*$  such that:

- $\Sigma_L^* \subseteq \Sigma_{\text{wn}}^*$
- $c \in \Sigma_c, r \in \Sigma_r$  and  $u \in \Sigma_{\text{wn}}^*$  then  $cur \in \Sigma_{\text{wn}}^*$ .
- $u_1, u_2 \in \Sigma_{\text{wn}}^*$ , then  $u_1 u_2 \in \Sigma_{\text{wn}}^*$ .

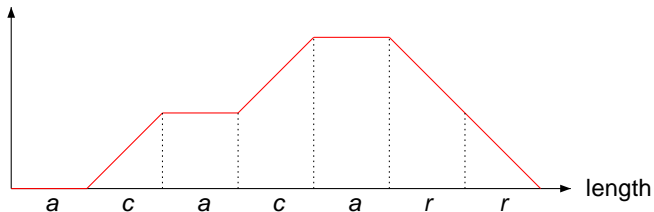
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For well-nested word  $w = acacarr$ , with  $a \in \Sigma_L, c \in \Sigma_c, r \in \Sigma_r$ :

height of the stack



# Runs and accepting runs

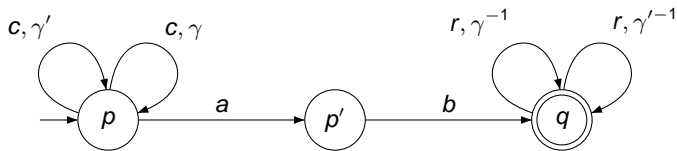
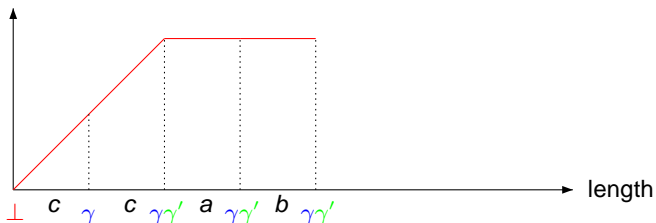


Figure:  $\mathcal{L}(A) = \{c^nabr^n \mid n \in \mathbb{N}\}$ ,  $a, b \in \Sigma_L, c \in \Sigma_C, r \in \Sigma_R$

Run:  $(p, \perp) \xrightarrow{c, \gamma} (p, \gamma) \xrightarrow{c, \gamma'} (p, \gamma\gamma') \xrightarrow{a} (p', \gamma\gamma') \xrightarrow{b} (q, \gamma\gamma')$  (on word  $w = ccab$ )

height of the stack





# Runs and accepting runs

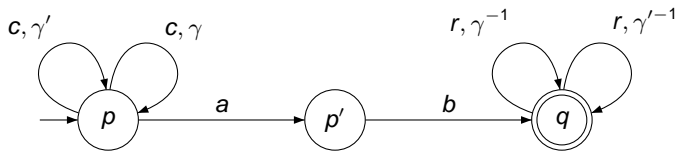
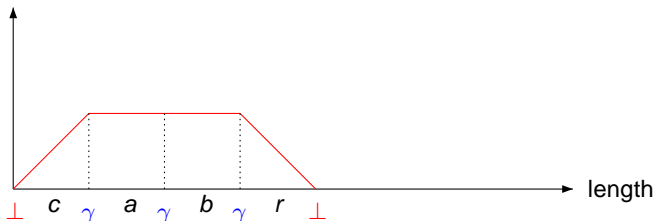


Figure:  $\mathcal{L}(A) = \{c^n a b r^n \mid n \in \mathbb{N}\}$ ,  $a, b \in \Sigma_L$ ,  $c \in \Sigma_C$ ,  $r \in \Sigma_R$

Accepting run:  $(p, \perp) \xrightarrow{c, \gamma} (p, \gamma) \xrightarrow{a} (p', \gamma) \xrightarrow{b} (q, \gamma) \xrightarrow{r, \gamma^{-1}} (q, \perp)$  (on word  $w = c a b r$ )

height of the stack



# N-VPA

Visibly pushdown automata with cost function  $\lambda : \delta \rightarrow \mathbb{N}_{>0}$ ,

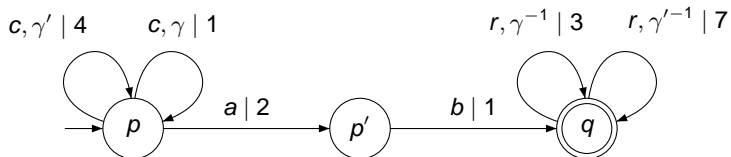


Figure:  $\mathcal{L}(A) = \{c^n a r^n \mid n \in \mathbb{N}\}$ ,  $a, b \in \Sigma_v$ ,  $c \in \Sigma_c$ ,  $r \in \Sigma_r$

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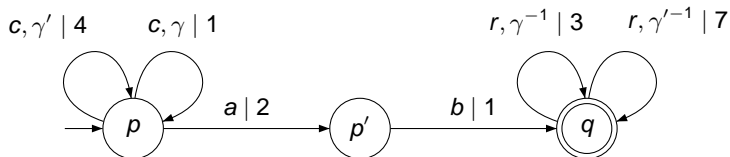


Figure:  $\mathcal{L}(A) = \{c^n ar^n \mid n \in \mathbb{N}\}$ ,  $a, b \in \Sigma_v$ ,  $c \in \Sigma_c$ ,  $r \in \Sigma_r$

- Multiplicity of a run  $\rho$  over transitions  $\{t_i\}_{1 \leq i \leq k}$ :  $\langle \rho \rangle = \prod_{i=1}^k \lambda(t_i)$ ,  
 $\rho : (p, \perp) \xrightarrow{c, \gamma'} (p, \gamma') \xrightarrow{a} (p', \gamma') \xrightarrow{b} (q, \gamma') \xrightarrow{r, \gamma'^{-1}} (q, \perp)$ ,  $\langle \rho \rangle = 56$
- Multiplicity of a word  $u$ :  $\langle u \rangle = \sum_{\rho \in \text{acceptingRuns}(u)} \langle \rho \rangle$ ,  
 $u = cabr$ ,  $\langle u \rangle = 62$
- Multiplicity of a N-VPA  $A$ :  $\langle A \rangle = \sup_{u \in \mathcal{L}(A)} \langle u \rangle$ .

# Outline

1 Introduction

2 **Infinite multiplicity**

- $\langle A \rangle < +\infty ?$

3 *k*-Boundedness

- $\langle A \rangle < k ?$

4 Conclusion

## Pattern (S1)

We give a characterization on  $\mathbb{N}$ -VPA ensuring their infiniteness by means of patterns (dumbbell):

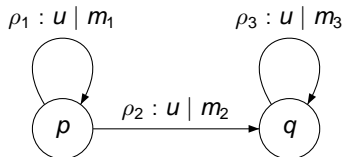


Figure: **(S1)** Well-nested case:  $u \in \Sigma_{\text{wn}}^*$ . Either **a)**  $m_1 > 1$  or **b)**  $\rho_1 \neq \rho_2$ .

### Lemma

Let  $A$  be an  $\mathbb{N}$ -VPA, then if  $A$  complies with **(S1)**,  $\langle A \rangle = +\infty$ .

If  $A$  complies with **(S1.a)**, then  $\langle A \rangle = +\infty$

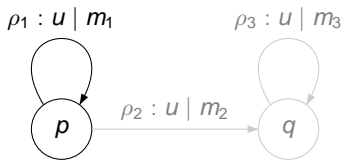


Figure:  $u \in \Sigma_{\text{wn}}^*$ ,  $m_1 > 1$

$$\langle u^i \rangle = \langle \rho_1 \rangle^i = m_1^i.$$

$\exists ww' \in \Sigma_{\text{wn}}^*$  such that

$$(q_{in}, \perp) \xrightarrow{w} (p, \sigma) \xrightarrow{u^i} (p, \sigma) \xrightarrow{w'} (q_f, \perp) \text{ is an accepting run of } A.$$

Then  $\langle wu^iw' \rangle \geq m_1^i \xrightarrow{i \rightarrow +\infty} +\infty$ .

If  $A$  complies with **(S1.b)**, then  $\langle A \rangle = +\infty$

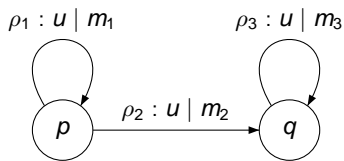


Figure:  $u \in \Sigma_{\text{wn}}^*$ ,  $\rho_1 \neq \rho_2$

$$\langle u^3 \rangle = \langle \rho_1 \rho_2 \rho_3 \rangle + \langle \rho_1 \rho_1 \rho_2 \rangle + \langle \rho_2 \rho_3 \rho_3 \rangle = m_1 m_2 m_3 + m_1 m_1 m_2 + m_2 m_3 m_3.$$

$$\text{Then } \langle u^i \rangle = \sum_{j=0}^{i-1} \langle \rho_1^j \rho_2 \rho_3^{i-j-1} \rangle.$$

$\exists ww' \in \Sigma_{\text{wn}}^*$  such that

$$(q_{in}, \perp) \xrightarrow{w} (p, \sigma) \xrightarrow{u^j} (p, \sigma) \xrightarrow{u} (q, \sigma) \xrightarrow{u^{i-j-1}} (q, \sigma) \xrightarrow{w'} (q_f, \perp) \text{ is an accepting run of } A.$$

$$\text{Then } \langle wu^i w' \rangle \geq i \xrightarrow{i \rightarrow +\infty} +\infty.$$

## Pattern (S2)

We give a characterization on  $\mathbb{N}$ -VPA ensuring their infiniteness by means of patterns (dumbbell):

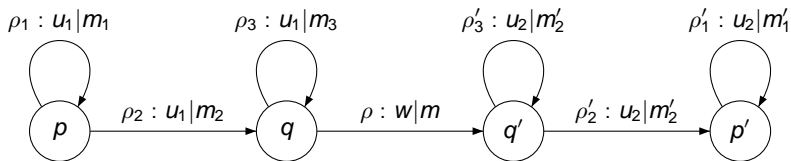


Figure: **(S2)** Matched loops case:  $w \in \Sigma_{wn}^*$ ,  $u_1 u_2 \in \Sigma_{wn}^*$ , and  $u_1 \notin \Sigma_{wn}^*$ . Either **a)** ( $m_1 > 1 \vee m'_1 > 1$ ), or **b)** ( $\rho_1 \neq \rho_2 \vee \rho'_1 \neq \rho'_2$ ).

### Lemma

Let  $A$  be an  $\mathbb{N}$ -VPA. If  $A$  complies with **(S2)**,  $\langle A \rangle = +\infty$ .



## Results

The presence of **(S1)** or **(S2)** is a sufficient condition to the infinite multiplicity of a  $\mathbb{N}$ -VPA...

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### Theorem

Let  $A$  be an  $\mathbb{N}$ -VPA.  $\langle A \rangle = +\infty$  if and only if  $A$  complies with one of the criteria **(S1)** and **(S2)**.

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Let  $A$  be a  $\mathbb{N}$ -VPA. It is decidable in polynomial time whether  $\langle A \rangle = +\infty$ .

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## Results

### Theorem

Given an  $\mathbb{N}$ -VPA  $A$  and  $k \in \mathbb{N}_{>0}$ , the problem of determining whether  $\langle A \rangle < k$  is EXPTIME-complete.

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For a fixed  $k \in \mathbb{N}_{>0}$ , given an  $\mathbb{N}$ -VPA  $A$  the problem of determining whether  $\langle A \rangle < k$  is in PTIME.

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- Complete characterization of infinite multiplicity of  $\mathbb{N}$ -VPA.
- Deciding the  $k$ -boundedness of  $\mathbb{N}$ -VPA.

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- Complete characterization of infinite multiplicity of  $\mathbb{N}$ -VPA.
- Deciding the  $k$ -boundedness of  $\mathbb{N}$ -VPA.
- Valuedness for visibly pushdown transducers.