Static analysis by abstract interpretation of run-time errors in synchronous and multithreaded embedded critical C programs

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#### Motivation: Ariane 5, Flight 501



#### Maiden flight of the Ariane 5 Launcher, 4 June 1996.

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#### Motivation: Ariane 5, Flight 501



40 s after launch...

 $\underline{Cause:} \text{ uncaught exception after overflow in arithmetic overflow}$ 

Cost: 370 000 000 US\$ [Dowson 97]

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#### Review of verification methods

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#### Formal methods:

#### Theorem proving

- proof essentially manual, but checked automatically
- powerful, but very steep learning curve and high human cost

#### Model checking

- checks a model of the program (usually user-specified, finite)
- automatic and complete (wrt. model), but often costly
- or automatic and incomplete (bounded model-checking)

#### Review of verification methods

- can work directly on the source code (not a model)
- automatic, always terminating, efficient
- parameterized by one/several abstraction(s)
- sound (full control and data coverage)
- incomplete (properties missed, false alarms)
- mostly used to check simple properties, with low precision requirements (e.g., for optimisation)

#### Review of verification methods



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#### Specialized static analyzer for validation

- checks for run-time errors (overflow, etc.)
- very precise on a chosen class of programs (no false alarm)
- gives sound results on all programs

#### Example static analyzers

Static analyzers checking for run-time errors in C code developed at ENS (Paris) in Patrick Cousot's group:

#### **Astrée**

- targets synchronous embedded real-time critical C code
- industrialized by AbsInt

#### AstréeA

- targets multithread embedded real-time C code
- research prototype in development

Related industrial tools elsewhere: PolySpace (MathWorks), cccheck (Microsoft), Sparrow, etc.

### Outline

- analysis of non-parallel programs
  - abstract interpretation

(in denotational form)

- the Astrée analyzer
- analysis of multithreaded programs
  - abstracting interleavings with interferences
  - weak memory consistency
  - thread synchronisation
  - the AstréeA prototype
  - limitations and possible extensions
- conclusion

ences (parallelism) (semantics of data-races) (mutexes and priorities)

#### Static analysis of non-parallel programs

Syntax

#### Simple structured numeric language

Language syntax			
stat	::=	$X \leftarrow expr$	(assignment)
		if $expr \bowtie 0$ then $stat$	(conditional)
		while $expr \bowtie 0$ do $stat$	(loop)
		stat; stat	(sequence)
expr	::=	$X \mid [c_1, c_2] \mid expr \diamond_{\ell} expr \mid \cdots$	
$X\in \mathcal{V}$		finite set of variables	
$\ell\in\mathcal{L}$		syntactic locations	(possible errors)
$c_1, c_2 \in \mathbb{R}, \diamond \in \{+, -, \times, /\}, \bowtie \in \{=, >, \ge, <, \le\}$			

#### Idealized language.

All variables are numeric and global. Functions are inlined. Only possible error: division by zero.

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#### Introduction to abstract interpretation

#### Abstract Interpretation

#### Abstract Interpretation

General theory of semantic approximation [Cousot Cousot 77,91]

#### **Core principles:**

- semantics are expressed as fixpoints
- semantics are linked through abstractions
- abstractions can be composed and reused (abstract domain)
- fixpoints can be approximated by iteration with acceleration

(widening  $\bigtriangledown$ )

(Ifp F)

 $(\alpha, \gamma)$ 

#### Applications:

- compare existing semantics (unifying power)
- derive new semantics by abstraction derive computable semantics ⇒ sound static analysis

#### Static analysis by abstract interpretation

#### Road-map:

 collecting concrete semantics lfp F in D able to observe the properties of interest (hard to compute: large or infinite # of elements and chains in D)

#### abstract domains

- abstract values  $\mathcal{D}^{\sharp}$ : semantic choice + data-structures  $\gamma: \mathcal{D}^{\sharp} \to \mathcal{D}$
- abstract functions F<sup>♯</sup>: algorithms + soundness proof F(γ(X<sup>♯</sup>)) ⊆ γ(F<sup>♯</sup>(X<sup>♯</sup>)
- convergence acceleration:  $\nabla$  + termination proof

#### Trace-based concrete semantics

 $\underline{\mathsf{Program states:}} \quad \sigma \in \Sigma \stackrel{\text{def}}{=} \mathcal{L} \times (\mathcal{E} \cup \{\omega\})$ 

- a control state in  $\mathcal{L}$  (finite)
- a memory state in  $\mathcal{E} \stackrel{\text{def}}{=} \mathcal{V} \to \mathbb{R}$  (infinite), or an error  $\omega$
- initial states:  $I \subseteq \Sigma$

 $\underline{\text{Transition relation:}} \quad \rightarrow \in \Sigma \times \Sigma$ 

**Trace semantics T**:

- set of execution traces, in  $\mathcal{P}(\Sigma^*)$
- $\mathbb{T} \stackrel{\text{def}}{=} \mathsf{lfp} F$  where  $F(T) \stackrel{\text{def}}{=} I \cup \{ \langle \sigma_0, \dots, \sigma_{n+1} \rangle \mid \langle \sigma_0, \dots, \sigma_n \rangle \in T \land \sigma_n \to \sigma_{n+1} \}$

#### Computing $\mathbb T$ is generally undecidable.

(equivalent to exhaustive test)

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#### State-based concrete semantics

#### State semantics S:

- set of reachable states, in  $\mathcal{P}(\Sigma)$
- $\mathbb{S} \stackrel{\text{def}}{=} \mathsf{lfp} \ G \text{ where } G(S) \stackrel{\text{def}}{=} I \cup \{ \sigma \, | \, \exists \sigma' \in S \land \sigma' \to \sigma \}$

The state-semantics is an abstraction of trace semantics

• we forget the ordering of states in traces  $\begin{array}{l} \alpha_{state}(\mathcal{T}) \stackrel{\text{def}}{=} \{ \sigma_i \, | \, \exists \langle \sigma_0, \dots, \sigma_n \rangle \in \mathcal{T} \land i \in [0, n] \} \\ \text{e.g.:} \ \alpha_{state}(\{ \bullet - \bullet - \bullet - \bullet \}) = \{ \bullet \bullet \bullet \} \end{array}$ 

• 
$$\mathbb{S} = \alpha_{state}(\mathbb{T})$$

- $\gamma_{state} \circ G = F \circ \gamma_{state}$  where  $\gamma_{state}(S) = \{ \langle \sigma_0, \dots, \sigma_n \rangle | \forall i \in [0, n], \sigma_i \in S \}$
- the abstraction is complete for safety properties

#### Computing ${\mathbb S}$ is undecidable or very costly.

(equivalent to exhaustive state-set exploration)

Static analysis of non-parallel programs

Concrete semantics

#### Numeric abstract domain









 $\mathcal{P}(\Sigma) = \mathcal{P}(\mathcal{L} \times (\mathcal{E} \cup \{\omega\})) \simeq (\mathcal{L} \to \mathcal{P}(\mathcal{E})) \times \mathcal{P}(\mathcal{L})$  $\implies$  we abstract  $\mathcal{P}(\mathcal{E}) \simeq \mathcal{P}(\mathbb{R}^{|\mathcal{V}|})$  further.



exponential cost linear cost

Trade-off between cost and expressiveness / precision

 $X \in [0, 12] \land Y \in [0, 8]$ 

intervals  $\mathcal{E}_{i}^{\sharp}$ :

#### Abstract interpretation in denotational form

#### State-based concrete semantics in denotational form

#### **Expression** semantics

$$\begin{split} & \mathbb{E}\llbracket expr \rrbracket : \mathcal{E} \to (\mathcal{P}(\mathbb{R}) \times \mathcal{P}(\mathcal{L})) \\ & \mathbb{E}\llbracket X \rrbracket \rho \stackrel{\text{def}}{=} \langle \{ \rho(X) \}, \emptyset \rangle \\ & \mathbb{E}\llbracket [c_1, c_2] \rrbracket \rho \stackrel{\text{def}}{=} \langle \{ x \in \mathbb{R} \mid c_1 \leq x \leq c_2 \}, \emptyset \rangle \\ & \mathbb{E}\llbracket e_1/\ell e_2 \rrbracket \rho \stackrel{\text{def}}{=} \\ & \text{let } \forall i \in \{ 1, 2 \}, \langle V_i, \Omega_i \rangle = \mathbb{E}\llbracket e_i \rrbracket \rho \text{ in} \\ & \langle \{ v_1/v_2 \mid v_i \in V_i, v_2 \neq 0 \}, \Omega_1 \cup \Omega_2 \cup \{ \ell \text{ if } 0 \in V_2 \} \rangle \\ & \cdots \end{split}$$

- input: memory states  $\mathcal{E} \stackrel{\text{def}}{=} \mathcal{V} \to \mathbb{R}$
- output:
  - set of values  $V \subseteq \mathbb{R}$  (non-determinism)
  - set of error locations  $\Omega\subseteq \mathcal{L}$

#### State-based concrete semantics in denotational form

#### **Statement semantics**

$$\begin{split} \underbrace{\mathbb{S}\llbracket\operatorname{stat}\rrbracket:\mathcal{D}\xrightarrow{\sqcup}\mathcal{D}}_{(\operatorname{noting}\langle V_{\rho}, \Omega_{\rho}\rangle)} & \stackrel{\text{def}}{=} \mathbb{E}\llbracket e \rrbracket \rho) \\ & \mathbb{S}\llbracket X \leftarrow e \rrbracket \langle R, \Omega \rangle \stackrel{\text{def}}{=} \mathbb{E}\llbracket e \rrbracket \rho) \\ & \mathbb{S}\llbracket X \leftarrow e \rrbracket \langle R, \Omega \rangle \stackrel{\text{def}}{=} \langle \emptyset, \Omega \rangle \sqcup \bigsqcup_{\rho \in R} \langle \{ \rho [X \mapsto v] \mid v \in V_{\rho} \}, \Omega_{\rho} \rangle \\ & \mathbb{S}\llbracket e \bowtie 0? \rrbracket \langle R, \Omega \rangle \stackrel{\text{def}}{=} \langle \emptyset, \Omega \rangle \sqcup \bigsqcup_{\rho \in R} \langle \{ \rho \mid \exists v \in V_{\rho}, v \bowtie 0 \}, \Omega_{\rho} \rangle \\ & \mathbb{S}\llbracket if e \bowtie 0 \text{ then } s \rrbracket X \stackrel{\text{def}}{=} (\mathbb{S}\llbracket s \rrbracket \circ \mathbb{S}\llbracket e \bowtie 0? \rrbracket) X \sqcup \mathbb{S}\llbracket e \bowtie 0? \rrbracket X \\ & \mathbb{S}\llbracket \text{ while } e \bowtie 0 \text{ do } s \rrbracket X \stackrel{\text{def}}{=} \mathbb{S}\llbracket e \not \approx 0? \rrbracket (\operatorname{lfp} \lambda Y . X \sqcup (\mathbb{S}\llbracket s \rrbracket \circ \mathbb{S}\llbracket e \bowtie 0? \rrbracket) Y) \\ & \mathbb{S}\llbracket s_{1}; s_{2} \rrbracket \stackrel{\text{def}}{=} \mathbb{S}\llbracket s_{2} \rrbracket \circ \mathbb{S}\llbracket s_{1} \rrbracket \end{split}$$

- $\mathcal{D} \stackrel{\text{def}}{=} \mathcal{P}(\mathcal{E}) \times \mathcal{P}(\mathcal{L})$ , with pointwise join  $\sqcup$ , order  $\sqsubseteq$
- S[[stat]] defined by structural induction on the syntax
  - mutate memory states  $ho \in R \subseteq \mathcal{E}$
  - accumulate error locations  $\Omega\subseteq \mathcal{L}$
  - complete  $\sqcup$ -morphism

#### State-based concrete semantics in denotational form

Program semantics
$$\mathbb{P} \stackrel{\text{def}}{=} [\mathbb{S}[ prog ] \langle \mathcal{E}_0, \emptyset \rangle ]_{\Omega}$$

- $prog \in stat$
- start form initial memory states  $\mathcal{E}_0 \subseteq \mathcal{E}$
- $\bullet$  output only error locations, in  ${\cal L}$

#### Static analysis as abstract interpretation

# Abstract semantics of statements $\frac{S^{\sharp}[stat]: \mathcal{D}^{\sharp} \to \mathcal{D}^{\sharp}}{S^{\sharp}[X \leftarrow e], S^{\sharp}[e \bowtie 0?]] \text{ are given}}$ $S^{\sharp}[if e \bowtie 0 \text{ then } s]X^{\sharp} \stackrel{\text{def}}{=} (S^{\sharp}[s] \circ S^{\sharp}[e \bowtie 0?])X^{\sharp} \sqcup^{\sharp} S^{\sharp}[e \not\bowtie 0?]X^{\sharp}$ $S^{\sharp}[while e \bowtie 0 \text{ do } s]X^{\sharp} \stackrel{\text{def}}{=}$ $S^{\sharp}[e \not\bowtie 0?](\lim \lambda Y^{\sharp}, Y^{\sharp} \bigtriangledown (X^{\sharp} \sqcup^{\sharp} (S^{\sharp}[s] \circ S^{\sharp}[e \bowtie 0?])Y^{\sharp}))$ $S^{\sharp}[s_{1}; s_{2}] \stackrel{\text{def}}{=} S^{\sharp}[s_{2}] \circ S^{\sharp}[s_{1}]$

•  $\mathcal{D}^{\sharp} \stackrel{\text{def}}{=} \mathcal{E}^{\sharp} \times \mathcal{P}(\mathcal{L})$ , where  $\mathcal{E}^{\sharp}$  is a numeric abstract domain.

• 
$$\gamma_{\mathcal{D}}\langle E^{\sharp}, \Omega \rangle \stackrel{\text{def}}{=} \langle \gamma_{\mathcal{E}}(E^{\sharp}), \Omega \rangle$$

•  $\mathbb{S}^{\sharp}[[s]], \sqcup^{\sharp}$  sound abstractions of  $\mathbb{S}[[s]], \sqcup$ :  $\mathbb{S}[[s]] \circ \gamma \sqsubseteq \gamma \circ \mathbb{S}^{\sharp}[[s]]$ 

 $\bullet\,$  approximate fixpoint solutions by iteration with widening  $\nabla\,$ 

#### Static analysis as abstract interpretation

Abstract semantics of programs

$$\mathbb{P}^{\sharp} \stackrel{\text{def}}{=} \left[ \mathbb{S}^{\sharp} \llbracket \operatorname{prog} \rrbracket \langle \mathcal{E}_{0}^{\sharp}, \emptyset \rangle \right]_{\Omega}$$

The analysis is:

- effective
- defined by structural induction on the program syntax
- efficient in memory (parsimonious use of abstract states)
- fully flow-sensitive
- $\bullet$  parametrized by the choice of an abstract domain  $\mathcal{E}^{\sharp}$
- sound:  $\mathbb{P} \subseteq \mathbb{P}^{\sharp}$

#### Example domain: the interval domain

$$\mathcal{E}^{\sharp} \stackrel{\mathrm{def}}{=} \mathcal{V} 
ightarrow (\mathbb{R} \cup \set{-\infty}) imes (\mathbb{R} \cup \set{+\infty}))$$

- X<sup>#</sup> ∈ E<sup>#</sup> maps each variable to a pair of bounds γ<sub>ε</sub>(X<sup>#</sup>) <sup>def</sup> { ρ ∈ E | ∀V ∈ V, fst(X<sup>#</sup>(V)) ≤ ρ(V) ≤ snd(X<sup>#</sup>(V)) } (can express absence of arithmetic and array overflow, etc.)
- $S^{\sharp}[X \leftarrow e]$ : interval arithmetics
- S<sup>‡</sup>[[ *e* ⋈ 0?]]: box tightening
- ⊔<sup>♯</sup>: box hull

(keep loosest bounds)

- $\nabla$ : set unstable bounds to  $\pm \infty$  (or use thresholds) e.g.:  $[0,1] \nabla [0,2] = [0,+\infty]$
- easy to adapt to machine integer and floating-point semantics

Static analysis of non-parallel programs

#### The need for relational domains

#### Example

```
i \leftarrow 0; x \leftarrow 0;
while i < 1000000 do
if [0, 1] = 0 then x \leftarrow x + [-1, 1];
i \leftarrow i + 1
```

#### Causes:

- approximations accumulate along abstract executions (the combination of optimal abstract operators is not optimal)
- extrapolations  $\nabla$  introduce extra approximations
- the need to find inductive loop invariants of a complex form  $(-i \le x \le i)$

# An (infinite) abstract domain works on infinitely many programs and fails on infinitely many programs!

#### Astrée

#### Specialized static analyzers

#### **Design by refinement:**

- focus on a specific family of programs and properties
- start with a fast and coarse analyzer (intervals)
- while the precision is insufficient (too many false alarms)
  - add new abstract domains
  - refine existing domains
  - improve communication between domains
- $\implies$  analyzer specialized for a (infinite) class of programs
  - efficient and precise
  - parametric (by end-users, to analyze new programs in the family)
  - extensible (by developers, to analyze related families)

(generic or application-specific)

(better transfer functions)

(reductions)

#### The Astrée static analyzer

Analyseur statique de programmes temps-réels embarqués (static analyzer for real-time embedded software)

- developed at ENS (since 2001)

  - B. Blanchet, P. Cousot, R. Cousot, J. Feret,L. Mauborgne, D. Monniaux, A. Miné, X. Rival
- industrialized and made commercially available by AbsInt (since 2009)





www.astree.ens.fr

#### Astrée's concrete semantics

#### **Concrete semantics source**

- C99 norm (portable programs)
- IEEE 754-1985 norm (floating-point arithmetic)
- architecture parameters (sizeof, endianess, struct, etc.)
- compiler and linker parameters (initialization, etc.)

#### Limitations

- no dynamic memory allocation
- no recursivity
- stand-alone programs only (no external libraries)
- monolithic analysis (non-modular)
- no parallel program

#### Astrée's concrete semantics

#### **Run-time errors**

- overflows in float, integer, enum arithmetic and cast
- division, modulo by 0 on integers and floats
- invalid argument of bit-shift
- out-of-bound array access
- invalid pointer arithmetic or dereferencing
- violation of user-specified assertions (assert)

Semantics after an error:

- halt the program
- return a specific value
- return all values in the type
- $\implies$  try to continue the analysis after an alarm

(out of bound array access)

(modulo after arithmetic overflow)

(invalid bit-shift)

## Target software

- synchronous reactive codes
- avionics control/command codes
- compiled to C from a graphical language a la Scade / Simulink

#### Structure

```
initialize state variables
while ( clock ≤ 3600000 ) {
   read input from sensors (volatile)
   compute output and new state
   write output to actuators
   wait for clock tick
}
```
Astree

# Target software



#### Second order digital filter in Simulink

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Static analysis by abstract interpretation

Static analysis of non-parallel programs

Astree

## Abstract interpreter



# Astrée applications



Airbus A340-300 (2003)



Airbus A380 (2004)



(model of) ESA ATV (2008)

- size: from 70 000 to 860 000 lines of C
- $\bullet$  analysis time: from 45mn to  ${\simeq}40h$
- alarm(s): 0 (proof of absence of run-time error)

# Embedded multithreaded software

#### Target: embedded multithreaded programs

- fixed set of threads (no dynamic creation of thread)
- communicating in shared memory
- scheduled on a single, mono-core processor
- running a real-time OS

## Why?

- trend to use multithreaded code even in critical applications (e.g., Integrated Modular Avionics)
- testing is not effective on multithreaded programs
  - interleaving  $\Rightarrow$  combinatorial blow-up of executions  $\Rightarrow$  tests have very low coverage
  - bugs appear in corner cases (data-races)

#### **Control path based semantics**

## Control paths

atomic ::= 
$$X \leftarrow expr \mid expr \bowtie 0$$
?

#### **Control paths**

$$\pi$$
: stat  $\rightarrow \mathcal{P}(atomic^*)$ 

$$\pi(X \leftarrow e) \stackrel{\text{def}}{=} \{X \leftarrow e\}$$
  

$$\pi(\text{if } e \bowtie 0 \text{ then } s) \stackrel{\text{def}}{=} (\{e \bowtie 0?\} \cdot \pi(s)) \cup \{e \bowtie 0?\}$$
  

$$\pi(\text{while } e \bowtie 0 \text{ do } s) \stackrel{\text{def}}{=} (\bigcup_{i \ge 0} (\{e \bowtie 0?\} \cdot \pi(s))^i) \cdot \{e \bowtie 0\}?$$
  

$$\pi(s_1; s_2) \stackrel{\text{def}}{=} \pi(s_1) \cdot \pi(s_2)$$

#### $\pi(prog)$ is a (generally infinite) set of finite control paths

## Path-based concrete semantics of sequential programs



Semantic equivalence  $S[prog] = \Pi[\pi(prog)]$ (not true in the abstract)

#### Advantages:

- easily extended to parallel programs (path interleavings)
- allows reasoning about local program transformations (weak memory models)

Path-based concrete semantics of parallel programs

Finite, fixed set of threads:  $\mathcal{T} \stackrel{\text{def}}{=} \{t_1, \ldots, t_n\}, prog_{t_i} \in stat$ 

#### Parallel control paths

$$\begin{aligned} \pi_* \stackrel{\text{def}}{=} \{ \text{ interleavings of } \pi(\textit{prog}_t), \ t \in \mathcal{T} \} \\ &= \{ p \in \textit{atomic}^* \, | \, \forall t \in \mathcal{T}, \, \textit{proj}_t(p) \in \pi(\textit{prog}_t) \} \end{aligned}$$

Interleaving program semantics

$$\mathbb{P}_* \stackrel{\text{def}}{=} [\Pi[\![\pi_*]\!] \langle \mathcal{E}_0, \emptyset \rangle]_{\Omega}$$

( $\simeq$  sequentially consistent executions [Lamport 79])

#### Issues:

- too many paths to consider exhaustively
- no induction structure to iterate on
- unrealistic assumptions on granularity and memory consistency



At 2), we have  $0 \le x \le y \le 10$ .

#### Abstracting interleavings as interferences

# Detour to rely/guarantee proof methods

checki	ng i
	0

1 while	true <b>do</b>	x unchanged
2 if x	< y then	y incremented
3 x	$\leftarrow x + 1$	$y \leq 10$

#### checking g



invariant at 2:  $0 \le x \le y \le 10$ 

## Modular proof method [Jones 81]

Annotate programs with:

- local invariants
- rely and guarantee on transitions

For each thread, prove that:

- local and guarantee hold
- using rely

rely/guarantee act as thread interfaces (abstraction)

How do we infer instead of relying on annotations?

## Concrete denotational semantics

Interferences in  $\mathcal{I} \stackrel{\text{def}}{=} \mathcal{T} \times \mathcal{V} \times \mathbb{R}$  $\langle t, X, v \rangle$  means: t can store the value v into the variable X

#### Interference program semantics

$$\begin{split} \mathbb{E}_{\mathcal{I}} \llbracket X \rrbracket_{t} \langle \rho, I \rangle &\stackrel{\text{def}}{=} \{ \rho(X) \} \cup \{ v \mid \exists t' \neq t, \langle t', X, v \rangle \in I \} \\ \mathbb{S}_{\mathcal{I}} \llbracket X \leftarrow e \rrbracket_{t} \langle R, \Omega, I \rangle &\stackrel{\text{def}}{=} \\ \langle \emptyset, \Omega, I \rangle \sqcup \bigsqcup_{\rho \in R} \langle \{ \rho[X \mapsto v] \mid v \in V_{\rho} \}, \Omega_{\rho}, \{ \langle t, X, v \rangle \mid v \in V_{\rho} \} \rangle \\ \text{where } \langle V_{\rho}, \Omega_{\rho} \rangle &= \mathbb{E}_{\mathcal{I}} \llbracket e \rrbracket_{t} \langle \rho, I \rangle \\ \mathbb{P}_{\mathcal{I}} &\stackrel{\text{def}}{=} \left[ \mathsf{lfp} \ \lambda \langle \Omega, I \rangle. \bigsqcup_{t \in \mathcal{T}} \llbracket \mathsf{prog}_{t} \rrbracket_{t} \langle \mathcal{E}_{0}, \emptyset, I \rangle \right]_{\Omega, I} \right]_{\Omega} \end{split}$$

•  $\mathbb{E}_{\mathcal{I}}$ ,  $\mathbb{S}_{\mathcal{I}}$  use and enrich  $I \subseteq \mathcal{I}$ 

• threads are re-analyzed until interferences I stabilize (Ifp)

E	kamp	ole			
			$\mathcal{E}_0$ : x	= y = 0	
	<b>f</b> :	1	while $0 = 0$ do	<b>g</b> : ①	while $0 = 0$ do
		2	if $x < y$ then	2	if $y < 10$ then
		3	$x \leftarrow x + 1$	3	$y \leftarrow y + 1$

#### **Concrete interference semantics:**

iteration 1  $I = \emptyset$ 2: x = 0, y = 02:  $x = 0, y \in [0, 10]$ new  $I = \{ \langle g, y, 1 \rangle, \dots, \langle g, y, 10 \rangle \}$ 

E>	kamp	ole			
			$\mathcal{E}_0$ : x	= y = 0	
	<b>f</b> :	1	while $0 = 0$ do	<b>g</b> : ①	while $0 = 0$ do
		2	if $x < y$ then	2	if $y < 10$ then
		3	$x \leftarrow x + 1$	3	$y \leftarrow y + 1$

#### Concrete interference semantics:

iteration 2  $I = \{ \langle g, y, 1 \rangle, \dots, \langle g, y, 10 \rangle \}$ (2):  $x \in [0, 10], y = 0$ (2):  $x = 0, y \in [0, 10]$ new  $I = \{ \langle f, x, 1 \rangle, \dots, \langle f, x, 10 \rangle, \langle g, y, 1 \rangle, \dots, \langle g, y, 10 \rangle \}$ 

Example					
			$\mathcal{E}_0$ : x	= y = 0	
	<b>f</b> :	1	while $0 = 0$ do	<b>g</b> : ①	while $0 = 0$ do
		2	if $x < y$ then	2	if $y < 10$ then
		3	$x \leftarrow x + 1$	3	$y \leftarrow y + 1$

#### **Concrete interference semantics:**

iteration 3  $I = \{ \langle f, x, 1 \rangle, \dots, \langle f, x, 10 \rangle, \langle g, y, 1 \rangle, \dots, \langle g, y, 10 \rangle \}$   $@: x \in [0, 10], y = 0$   $@: x = 0, y \in [0, 10]$ new  $I = \{ \langle f, x, 1 \rangle, \dots, \langle f, x, 10 \rangle, \langle g, y, 1 \rangle, \dots, \langle g, y, 10 \rangle \}$ 

E>	kamp	ole			
			$\mathcal{E}_0$ : x	= y = 0	
	<b>f</b> :	1	while $0 = 0$ do	<b>g</b> : ①	while $0 = 0$ do
		2	if $x < y$ then	2	if $y < 10$ then
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#### Concrete interference semantics:

iteration 3  $I = \{ \langle f, x, 1 \rangle, \dots, \langle f, x, 10 \rangle, \langle g, y, 1 \rangle, \dots, \langle g, y, 10 \rangle \}$   $2 : x \in [0, 10], y = 0$   $2 : x = 0, y \in [0, 10]$ new  $I = \{ \langle f, x, 1 \rangle, \dots, \langle f, x, 10 \rangle, \langle g, y, 1 \rangle, \dots, \langle g, y, 10 \rangle \}$ <u>Note:</u> we don't get that  $x \leq y$  at 2, only that  $x, y \in [0, 10]$ 

# Soundness of the interference semantics

Soundness theorem  $\mathbb{P}_* \subseteq \mathbb{P}_{\mathcal{I}}$ 

Proof sketch:

- define  $\Pi_{\mathcal{I}}[\![P]\!]_t A \stackrel{\text{def}}{=} \bigsqcup \{ \mathbb{S}_{\mathcal{I}}[\![s_1; \ldots; s_n]\!]A \mid s_1 \cdot \ldots \cdot s_n \in P \};$ then  $\Pi_{\mathcal{I}}[\![\pi(s)]\!]_t = \mathbb{S}_{\mathcal{I}}[\![s]\!]_t;$
- given the interference fixpoint  $I \subseteq \mathcal{I}$  from  $\mathbb{P}_{\mathcal{I}}$ , prove by recurrence on the length of  $p \in \pi_*$  that:
  - $\forall t \in \mathcal{T}, \forall \rho \in [\Pi[\![ p ]\!] \langle \mathcal{E}_0, \emptyset \rangle]_{\mathcal{E}}, \\ \exists \rho' \in [\Pi_{\mathcal{I}}[\![ proj_t(p) ]\!]_t \langle \mathcal{E}_0, \emptyset, I \rangle]_{\mathcal{E}} \text{ such that} \\ \forall X \in \mathcal{V}, \ \rho(X) = \rho'(X) \text{ or } \langle t', X, \ \rho(X) \rangle \in I \text{ for some } t' \neq t. \end{cases}$
  - $[\llbracket p \rrbracket \langle \mathcal{E}_0, \emptyset \rangle ]_{\Omega} \subseteq \bigcup_{t \in \mathcal{T}} [\llbracket_{\mathcal{I}} \llbracket \operatorname{proj}_t(p) \rrbracket_t \langle \mathcal{E}_0, \emptyset, I \rangle ]_{\Omega}$

Note: sound but not complete

## Interference abstraction

#### Abstract interferences $\mathcal{I}^{\sharp}$

 $\begin{array}{l} \mathcal{P}(\mathcal{I}) \stackrel{\mathrm{def}}{=} \mathcal{P}(\mathcal{T} \times \mathcal{V} \times \mathbb{R}) \text{ is abstracted as } \mathcal{I}^{\sharp} \stackrel{\mathrm{def}}{=} (\mathcal{T} \times \mathcal{V}) \to \mathcal{N}^{\sharp} \\ \text{where } \mathcal{N}^{\sharp} \text{ abstracts } \mathcal{P}(\mathbb{R}) \quad (\text{e.g. intervals}) \end{array}$ 

## Abstract semantics with interferences $\mathbb{S}_{\mathcal{I}}^{\sharp} \llbracket s \rrbracket$

derived from  $\mathbb{S}^{\sharp}$  in a generic way: <u>Example:</u>  $\mathbb{S}_{\mathcal{I}}^{\sharp} \llbracket X \leftarrow e \rrbracket_t \langle R^{\sharp}, \Omega, I^{\sharp} \rangle$ 

- for each Y in e, get its interference  $Y_{\mathcal{N}}^{\sharp} = \bigsqcup_{\mathcal{N}}^{\sharp} \{ I^{\sharp} \langle t', Y \rangle | t' \neq t \}$
- if  $Y_{\mathcal{N}}^{\sharp} \neq \perp_{\mathcal{N}}^{\sharp}$ , replace Y in e with  $get\langle Y, R^{\sharp} \rangle \sqcup_{\mathcal{N}}^{\sharp} Y_{\mathcal{N}}^{\sharp}$
- compute  $\langle R^{\sharp'}, \Omega' \rangle = \mathbb{S}^{\sharp} \llbracket e \rrbracket \langle R^{\sharp}, \Omega \rangle$
- enriches  $I^{\sharp}\langle t, X \rangle$  with  $get(X, R^{\sharp'})$

# Static analysis with interferences

# $\begin{array}{l} \textbf{Abstract analysis} \\ \mathbb{P}_{\mathcal{I}}^{\sharp} \stackrel{\text{def}}{=} \\ \left[ \lim \lambda \langle \Omega, I^{\sharp} \rangle. \langle \Omega, I^{\sharp} \rangle \nabla \bigsqcup_{t \in \mathcal{T}}^{\sharp} \left[ \mathbb{S}_{\mathcal{I}}^{\sharp} [prog_{t}]_{t} \langle \mathcal{E}_{0}^{\sharp}, \emptyset, I^{\sharp} \rangle \right]_{\Omega, I^{\sharp}} \right]_{\Omega} \end{array}$

- effective analysis by structural induction
- termination ensured by a widening
- $\bullet$  parametrized by a choice of abstract domains  $\mathcal{N}^{\sharp},~\mathcal{E}^{\sharp}$
- $\bullet$  interferences are flow-insensitive and non-relational in  $\mathcal{N}^{\sharp}$
- $\bullet$  thread analysis remains flow-sensitive and relational in  $\mathcal{E}^{\sharp}$

#### Weak memory consistency

Weak memory consistency

## Issues with weak consistency



(simplified Dekker mutual exclusion algorithm)

 $S_1$  and  $S_2$  cannot execute simultaneously.

Weak memory consistency

## Issues with weak consistency

program writt		prog	
$\mathcal{E}_0: F_1 =$	$= F_2 = 0$		
$F_1 \leftarrow 1;$	$F_2 \leftarrow 1;$	$\rightarrow$	if F
if $F_2 = 0$ then	if $F_1 = 0$ then		ŀ
$S_1$	<i>S</i> <sub>2</sub>		5

$$\begin{array}{c|c} \textbf{program executed} \\ \hline \mathcal{E}_0: F_1 = F_2 = 0 \\ \hline \textbf{if } F_2 = 0 \textbf{ then } & \textbf{if } F_1 = 0 \textbf{ then } \\ F_1 \leftarrow 1; & F_2 \leftarrow 1; \\ S_1 & S_2 \end{array}$$

(simplified Dekker mutual exclusion algorithm)

 $S_1$  and  $S_2$  can execute simultaneously. Not a sequentially consistent behavior!

Caused by:

- write FIFOs, caches, distributed memory
- hardware or compiler optimizations, transformations
- . . .

behavior accepted by Java [Manson al. 05]

MOVEP — 4 December 2012

Static analysis by abstract interpretation

Weak memory consistency

# Out of thin air principle

#### original program

$$\begin{array}{c|c} \mathcal{E}_0: R_1 = R_2 = X = Y = 0\\ \hline R_1 \leftarrow X; & R_2 \leftarrow Y;\\ Y \leftarrow R_1 & X \leftarrow R_2 \end{array}$$

(example from causality test case #4 for Java by Pugh et al.)

We should not have  $R_1 = 42$ .

# Out of thin air principle



(example from causality test case #4 for Java by Pugh et al.)

We should not have  $R_1 = 42$ .

Possible if we allow speculative writes!

 $\implies$  we disallow this kind of program transformations.

(also forbidden in Java)

## Path-based definition of weak consistency

Acceptable control path transformations:  $p \rightsquigarrow q$ 

only reduce interferences and errors

- Reordering:  $X_1 \leftarrow e_1 \cdot X_2 \leftarrow e_2 \rightsquigarrow X_2 \leftarrow e_2 \cdot X_1 \leftarrow e_1$ (if  $X_1 \notin var(e_2)$ ,  $X_2 \notin var(e_1)$ , and  $e_1$  does not stop the program)
- Propagation: X ← e ⋅ s → X ← e ⋅ s[e/X] (if X ∉ var(e), var(e) are thread-local, and e is deterministic)
- Factorization:  $s_1 \cdot \ldots \cdot s_n \rightsquigarrow X \leftarrow e \cdot s_1[X/e] \cdot \ldots \cdot s_n[X/e]$ (if X is fresh,  $\forall i, var(e) \cap lval(s_i) = \emptyset$ , and e has no error)
- Decomposition:  $X \leftarrow e_1 + e_2 \rightsquigarrow T \leftarrow e_1 \cdot X \leftarrow T + e_2$ (change of granularity)

• . . .

#### but NOT:

• "out-of-thin-air" writes:  $X \leftarrow e \rightsquigarrow X \leftarrow 42 \cdot X \leftarrow e$ 

## Soundness of the interference semantics

Interleaving semantics of transformed programs  $\mathbb{P}'_*$ 

• 
$$\pi'(s) \stackrel{\text{def}}{=} \{ p \mid \exists p' \in \pi(s), p' \rightsquigarrow * p \}$$

• 
$$\pi'_* \stackrel{\text{def}}{=} \{ \text{ interleavings of } \pi'(\text{prog}_t), t \in \mathcal{T} \}$$

• 
$$\mathbb{P}'_* \stackrel{\text{def}}{=} [\Pi[\![\pi'_*]\!]\langle \mathcal{E}_0, \emptyset \rangle]_{\Omega}$$



 $\implies \mbox{ the interference semantics is sound} \\ \mbox{ wrt. weakly consistent memories}$ 

#### **Synchronization**

# Scheduling

~		•		•	
S	vnch	ironiz	ation	prim	itives
Ҽ.	,			P	

 $m \in \mathcal{M}$ : finite set of non-recursive mutexes threads  $t_1, \ldots, t_n$  have fixed, distinct priorities

#### Real-time scheduling

- only the highest priority unblocked thread can run
- lock and yield may block
- **yield**ing threads wake up non-deterministically (preempting lower-priority threads)
- explicit synchronisation enforces memory consistency

## Mutual exclusion



Interleaving semantics  $\mathbb{P}_*$ :

restrict interleavings of control paths

Interference semantics  $\mathbb{P}_{\mathcal{I}}$ ,  $\mathbb{P}_{\mathcal{I}}^{\sharp}$ :

partition wrt. an abstract local view of the scheduler  ${\cal C}$ 

• 
$$\mathcal{E} \rightsquigarrow \mathcal{E} \times \mathcal{C}, \quad \mathcal{E}^{\sharp} \rightsquigarrow \mathcal{C} \to \mathcal{E}^{\sharp}$$
  
•  $\mathcal{I} \stackrel{\text{def}}{=} \mathcal{T} \times \mathcal{V} \times \mathbb{R} \rightsquigarrow \mathcal{I} \stackrel{\text{def}}{=} \mathcal{T} \times \mathcal{C} \times \mathcal{V} \times \mathbb{R},$   
 $\mathcal{I}^{\sharp} \stackrel{\text{def}}{=} (\mathcal{T} \times \mathcal{V}) \to \mathcal{N}^{\sharp} \rightsquigarrow \mathcal{I}^{\sharp} \stackrel{\text{def}}{=} (\mathcal{T} \times \mathcal{C} \times \mathcal{V}) \to \mathcal{N}^{\sharp}$ 

## Mutual exclusion



#### Data-race effects

Partition wrt. mutexes  $M \subseteq \mathcal{M}$  held by the current thread t

• 
$$\mathbb{S}_{\mathcal{I}}[\![X \leftarrow e]\!]_t \langle \rho, M, I \rangle$$
 adds  
 $\{ \langle t, M, X, v \rangle \mid v \in \mathbb{E}_{\mathcal{I}}[\![X]\!]_t \langle \rho, M, I \rangle \}$  to  $I$ 

- $\mathbb{E}_{\mathcal{I}}[\![X]\!]_t \langle \rho, M, I \rangle = \{\rho(X)\} \cup \{v \mid \langle t', M', X, v \rangle \in I, t \neq t', M \cap M' = \emptyset\}$
- flow-insensitive, subject to weak memory consistency

# Mutual exclusion



#### Well-synchronized effects

- last write before unlock affects first read after lock
- partition interferences wrt. a protecting mutex *m* (and *M*)
- $\mathbb{S}_{\mathcal{I}}[[\mathbf{unlock}(m)]]_t \langle \rho, M, I \rangle$  stores all  $\rho(X)$  into I
- $S_{\mathcal{I}}[[lock(m)]]_t \langle \rho, M, I \rangle$  imports values form I into  $\rho$
- imprecision: non-relational, largely flow-insensitive

# Example analysis

abstract consumer/producer			
$\mathcal{E}_0: X=1$			
p1:	p2:		
while $1 \text{ do}$	while $1 \text{ do}$		
lock(m); <sup>1</sup>	lock(m);		
if $X > 0$ then ${}^{2}X \leftarrow X - 1$ ;	$X \leftarrow X + 1;$		
unlock(m);	if $X > 10$ then $X \leftarrow 10$ ;		
$^{3}Y \leftarrow X$	unlock(m)		

at <sup>1</sup> the unlock – lock effect from p2 imports {X} × [1,10]
at <sup>2</sup> X ∈ [1,10], no effect from p2: X ← X − 1 is safe
at <sup>3</sup> X ∈ [0,9], and p2 has the effects {X} × [1,10] so, Y ∈ [0,10]

## Real-time scheduling

priority-based critica	al sections
high thread	low thread
$L \leftarrow islocked(m);$	<b>lock</b> ( <i>m</i> );
if $L = 0$ then	$Z \leftarrow Y;$
$Y \leftarrow Y + 1;$	$Y \leftarrow 0;$
yield	unlock(m)

Partition interferences and memory states wrt. scheduling state

- partition wrt. mutexes tested with islocked
- *L* ← **islocked**(*m*) creates two partitions
  - $P_0$  where L = 0 and m is free
  - $P_1$  where L = 1 and m is locked
- $P_0$  handled as if m where locked
- blocking primitives merge  $P_0$  and  $P_1$  (lock, yield)

#### AstréeA

# AstréeA project

Goal: Astrée for asynchronous programs

Target programs: large embedded avionic C software

Model: ARINC 653 real-time operating system

- several concurrent threads, one a single processor
- shared memory (implicit communications)

(mutexes)

- synchronisation primitives
- real-time scheduling (priority-based)
- fixed set of threads and mutexes, fixed priorities
- no dynamic memory allocation, no recursivity

We compute all run-time errors in a sound way:

classic C run-time errors (overflows, invalid pointers, etc.)
 data-races (report & factor in the analysis)

but NOT deadlocks, livelocks, priority inversions (yet!)
# The AstréeA prototype

## **Prototype:**

- started in 2009
- based on the Astrée code-base
- additions:
  - support for multithreaded programs
  - checks for data-races
  - new or improved abstract domains
  - added flexibility and scalability
  - improved iteration strategies

#### Website

```
http://www.astreea.ens.fr
```

#### work in progress

Static analysis of multithreaded programs

AstreeA

## Abstract interpreter



# Target system



- embedded avionic code
- 1.6 Mlocs of C, 15 threads
- many variables, large arrays, many loops
- reactive code + network code + lists, strings. pointers
- initialization phase, followed by a multithreaded phase

## Target system

## **Operating system:**

- ARINC 653 OS specification
- model hand-written based on documentation in C + low-level analyzer primitives (2.6 Klines)

Memory consistency: conservative model

# Analysis results

Analysis on our intel 64-bit 2.66 GHz server, 64 GB RAM.

Analysis results				
# threads	# iters.	time	# alarms	
5	4	46 mn	64	
15	6	43 h	1 208	
	results # threads 5 15	results           # threads         # iters.           5         4           15         6	results           # threads         # iters.         time           5         4         46 mn           15         6         43 h	results           # threads         # iters.         time         # alarms           5         4         46 mn         64           15         6         43 h         1 208

efficiency on par with analyses of synchronous code

• few thread reanalyses

• few partitions (up to 4 for memory states, 52 for interferences) but still too many alarms

#### Limitations of the interference abstraction

# Lack of relational lock invariants



Our analysis finds  $X \in [0, 10]$ , but no bound on Y.

Actually  $Y \in [0, 10]$ . To prove this, we would need to infer the relational invariant X = Y at lock boundaries.

# Lack of inter-process flow-sensitivity

a more difficult example		
$\mathcal{E}_0: X = 0$		
while 1 do	while 1 do	
<b>lock</b> (m);	<b>lock</b> (m);	
$X \leftarrow X + 1;$	$X \leftarrow X + 1;$	
unlock(m);	unlock(m);	
<b>lock</b> (m);	lock(m);	
$X \leftarrow X - 1;$	$X \leftarrow X - 1;$	
unlock(m)	unlock(m)	

Our analysis finds no bound on X.

Actually  $X \in [-2, 2]$  at all program points. To prove this we need to infer an invariant on the history of interleaved executions: no more than two incrementation (resp. decrementation) can occur without a decrementation (resp. incrementation).

#### **Towards more general interferences**

Static analysis of multithreaded programs

## Reminder: from traces to sequential analyses



• 
$$\mathbb{T} \stackrel{\text{def}}{=} |\text{fp } \lambda T. I \cup \{ \langle \sigma_0, \dots, \sigma_{n+1} \rangle | \langle \sigma_0, \dots, \sigma_n \rangle \in T \land \sigma_n \to \sigma_{n+1} \}$$
  
•  $\mathbb{S} \stackrel{\text{def}}{=} |\text{fp } \lambda S. I \cup \{ \sigma | \exists \sigma' \in S \land \sigma' \to \sigma \} = \alpha_{\text{state}}(\mathbb{T})$   
where  $\alpha_{\text{state}}(T) \stackrel{\text{def}}{=} \{ \sigma_i | \exists \langle \sigma_0, \dots, \sigma_n \rangle \in T \land i \in [0, n] \}$ 

## Interleaved traces

#### States:

- thread state: for each  $t \in \mathcal{T}$ ,  $\Sigma_t \stackrel{\text{def}}{=} \mathcal{L}_t \times \mathcal{E}$
- program state:  $\Sigma \stackrel{\text{def}}{=} (\prod_{t \in \mathcal{T}} \mathcal{L}_t) \times \mathcal{E}$

 $\underline{\text{Labelled transition relation:}} \quad \stackrel{\cdot}{\to} \in \Sigma \times \mathcal{T} \times \Sigma$ 

Labelled trace semantics:

 $\mathbb{T} \stackrel{\text{def}}{=} \text{lfp } F \text{ where}$   $F(T) \stackrel{\text{def}}{=} I \cup \{ \sigma_0 \stackrel{t_0}{\to} \cdots \stackrel{t_i}{\to} \sigma_{i+1} \, | \, \sigma_0 \stackrel{t_0}{\to} \cdots \stackrel{t_{i-1}}{\to} \sigma_i \in T \land \sigma_i \stackrel{t_i}{\to} \sigma_{i+1} \, \}$ 

## Interleaved trace abstraction

### Complementary abstractions:

• thread-local states:  $\mathbb{S}_t \stackrel{\text{def}}{=} \pi_t(\alpha_{state}(\mathbb{T})) \in \mathcal{P}(\mathcal{L}_t \times \Sigma')$ 

 $\pi_t(\ell,\rho) \stackrel{\text{def}}{=} (\ell_t, \, \rho[\forall t' \neq t, \, \textit{pc}_{t'} \mapsto \ell_{t'}])$ 

(inter-thread flow-sensitive state, with auxiliary variables)

• interferences:  $\mathbb{A}_t \stackrel{\text{def}}{=} \{ (\sigma_i, \sigma_{i+1}) | \exists \cdots \sigma_i \stackrel{t}{\to} \sigma_{i+1} \cdots \in \mathbb{T} \}$ (relational flow-sensitive interferences)

## Nested fixpoint form:

$$\begin{split} &\mathbb{S}_{t} = \mathsf{lfp} \ G_{t} \text{ where} \\ & G_{t}(S) \stackrel{\text{def}}{=} \ \mathsf{lfp} \ H_{t}(\lambda t'. \{ (\sigma, \sigma') \, | \, \sigma \in S_{t'}, \, \sigma \xrightarrow{t'} \sigma' \} ) \\ & H_{t}(A)(S) \stackrel{\text{def}}{=} \ \pi_{t}(I \cup \{ \, \sigma' \, | \, \exists \pi_{t}(\sigma) \in S, \, \sigma \xrightarrow{t} \sigma' \lor \exists t' \neq t, (\sigma, \sigma') \in A_{t'} \} ) \end{split}$$

The abstraction  ${\mathbb S}$  is complete for safety properties.

Static analysis of multithreaded programs

## From traces to thread-modular analyses



# Conclusion

A method to analyze embedded real-time multithreaded programs that:

- is sound for all interleavings
- is sound for weakly consistent memory semantics
- takes scheduling and synchronization into account
- is parametrized by abstract domains
- can benefit directly from existing non-parallel analyzers
- is efficient (on par with non-parallel analysis)

## Encouraging experimental results.

#### Conclusion

# Related work

## **Huge** body of work on parallel programs, models & analysis! **Main inspirations:**

• **proofs** of parallel programs [OwickiGries76, Lamport77] decomposition: thread-local invariant + global interference

### abstract interpretation

formalizing the proof methods recent static analysis applications

## Other works:

 flow-insensitive analyses naturally handle parallelism, but low precision

## • model-checking: considers interleavings explicitly partial-order reduction (complete) [Godefroid94] bounded context switches (unsound) [QadeerRehof05]

#### weak memory consistency

hardware [Lamport79, AdveGharachorloo96] language [MansonPughAdve05] (Java), [SaraswatAl07] (generative)

[CousotCousot84]

[Steensgaard96]

[Ferrara08, CarréHymans09]

Conclusion

# Future work

Goal: zero alarm

#### **Planned improvements:**

• relational analysis of interferences

(well-synchronized effects protected by mutexes)

- history-sensitive abstractions (ordering of critical sections)
- additional synchronisation primitives (events)
- additional priority policies (priority ceiling)
- other operating systems (OSEK/VDX, AUTOSAR, POSIX)
- refined weakly consistent memory models (atomic volatile)
- application-specific abstract domains (lists, strings)

# **Questions?**

Want to work on AstréeA? Post-doc positions available!